



**CITY OF RATON, NEW MEXICO  
RESOLUTION 2016-51**

**APPROVAL OF OCTOBER 31, 2016 FINANCIAL REPORT**

**WHEREAS**, the finances for the City of Raton, have been prepared and reconciled through October 31, 2016; and

**WHEREAS**, said financial report was developed in accordance with requirements of the Local Government Division; and

**WHEREAS**, it is the majority opinion of this Governing Body that the prepared financial report is an accurate accounting of the City of Raton's activities as currently determined for fiscal year 2016-2017.

**NOW, THEREFORE, BE IT HEREBY RESOLVED** that the Governing Body of the Municipality of Raton, State of New Mexico, hereby approves the Financial Report for period ending October 31, 2016.

**RESOLVED:** In session this 22<sup>nd</sup> day of November 2016.

**CITY OF RATON**

\_\_\_\_\_  
Sandra Mantz, Mayor

**ATTEST:**

\_\_\_\_\_  
Tricia Garcia, City Clerk

**GENERAL FUND FINANCIAL SUMMARY AS OF OCTOBER 31, 2016**

General Fund Beginning Cash 7/1/16: \$ 1,386,716.75  
YTD Revenues 1,397,461.10  
YTD Expenses (1,509,155.00)  
Net YTD Transfers IN/OUT (152,760.11)  
Accrual Adj (7,254.59)

General Fund Ending Cash 10/31/16: \$ 1,115,008.15

Less Required 1/12th Reserve: (393,620.33)  
Less Bal of Designated Street Improvement GRT ( 0.00)

\$189,843.95 designated GRT funds  
-\$48,346 Tiger Dr. Match  
-\$77,508.75 Maxwell Addition Chip Seal  
-\$44,474.27 Tiger Dr. Change Order  
-\$16,156.00 Pecos Ave Coop Match  
-3,358.93 Downtown Ave Resurfacing

**AVAILABLE CASH 10/31/16 \$ 731,387.82**

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**GROSS RECEIPTS RECAP AS OF OCTOBER 2016**

Budgeted GF Gross Receipts FY16: \$ 2,781,659.00  
Budgeted GRT thru Oct 2016 \$ 927,219.67 4/12=33.33%  
Collected GRT thru Oct 2016 \$ 1,021,058.16 actual=36.70%  
**3.37 % Increase** YTD per budget 93,838.49

**Additional Notes:**

As of the date of this report NM Tax and Revenue had not posted the November Gross Receipts Tax Distribution. Information will be provided as soon as it is available. Please note that the % increase on last month's report for October GRT was incorrect therefore as of October 31, 2016 the City of Raton's GRT was 3.37% above the budgeted projection year to date. The City is currently operating within budget and will also be anticipating receipt of the 1<sup>st</sup> half of the property taxes in late December or early January. The Multi Modal Center is also complete and the City is in the process of paying the final invoices and submitting the reimbursement requests to FHWA. Once reimbursement is received all temporary transfers of funds to the Multi Modal fund will be transferred back to the General Fund.

Report Prepared by:  
Michael Anne Antonucci  
Treasurer  
11/17/2016



tax rate effec 1-1-15		8.5208%	<u>STATE REPORT</u>					2014-2015
	STATE	MUNI	INFRA	ENV	WATER	GROSS	.DMIN/LOAN	TOTAL
JULY	120,206.94	122,084.63	24,422.44	6,103.87	97,691.69	370,509.57	(24,474.09)	346,035.48
AUG	121,799.87	123,912.83	24,785.81	6,195.16	99,144.27	375,837.94	(24,549.08)	351,288.86
SEP	119,370.35	121,403.57	24,302.62	6,073.53	97,216.94	368,367.01	(24,483.77)	343,883.24
OCT	114,990.26	117,069.49	23,414.64	5,852.35	93,662.02	354,988.76	(24,238.35)	330,750.41
NOV	123,525.83	106,225.20	24,448.05	6,109.58	97,789.41	383,096.47	(24,998.40)	358,098.07
DEC	116,165.32	118,204.03	23,651.39	5,910.25	94,606.91	358,537.90	(24,261.71)	334,276.19
JAN	106,443.25	107,949.89	21,590.71	5,396.01	86,365.72	327,745.58	(23,726.13)	304,019.45
FEB	131,804.98	134,959.10	26,845.42	6,708.05	107,388.91	407,706.46	(25,455.81)	382,250.65
MAR	101,277.39	122,829.41	20,618.20	5,152.63	82,475.37	332,353.00	(24,084.90)	308,268.10
ARP	105,251.16	126,457.26	21,416.61	5,349.62	85,667.76	344,142.41	(24,476.97)	319,665.44
MAY	112,647.97	136,714.51	22,925.25	5,728.88	91,705.37	369,721.98	(24,774.76)	344,947.22
JUN	120,607.25	143,817.36	24,556.11	6,137.07	98,226.46	393,344.25	(25,182.32)	368,161.93
	1,394,090.57	1,481,627.28	282,977.25	70,717.00	1,131,940.83	4,386,351.33	(294,706.29)	4,091,645.04

tax rate 8.5208%		<u>STATE REPORT</u>					2015-2016	
	STATE	MUNI	INFRA	ENV	WATER	GROSS	.DMIN/LOAN	TOTAL
JULY	124,268.01	150,361.44	25,231.66	6,304.95	100,931.27	407,097.33	(25,616.70)	381,480.63
AUG	126,151.18	153,811.26	25,686.59	6,418.07	102,747.81	414,814.91	(25,629.74)	389,185.17
SEP	139,104.55	169,154.58	28,315.40	7,070.91	113,268.43	456,913.87	(25,940.90)	430,972.97
OCT	112,004.94	136,383.33	22,773.80	5,683.59	91,094.07	367,939.73	(24,285.82)	343,653.91
NOV	105,527.65	128,401.56	21,484.59	5,364.37	85,941.82	346,719.99	(23,890.93)	322,829.06
DEC	101,197.71	123,106.03	20,592.11	5,138.04	82,384.16	332,418.05	(23,624.46)	308,793.59
JAN	97,883.43	118,836.39	19,846.07	4,953.44	79,389.41	320,908.74	(23,401.37)	297,507.37
FEB	167,855.74	204,812.19	34,214.54	8,546.70	136,858.85	552,288.02	(30,128.63)	522,159.39
MAR	99,848.25	121,783.32	20,308.93	5,065.99	81,240.08	328,246.57	(23,992.58)	304,253.99
ARP	95,264.25	116,202.42	19,385.62	4,840.00	77,557.22	313,249.51	(23,844.81)	289,404.70
MAY	119,770.94	145,830.64	24,390.13	6,095.01	97,562.49	393,649.21	(25,282.67)	368,366.54
JUN	103,721.43	126,317.16	21,119.60	5,279.62	84,478.95	340,916.76	(24,268.07)	316,648.69
	1,392,598.08	1,695,000.32	283,349.04	70,760.69	1,133,454.56	4,575,162.69	(299,906.68)	4,275,256.01

tax rate 8.5208%		<u>STATE REPORT</u>					2016-2017	
	STATE	MUNI	INFRA	ENV	WATER	GROSS	ADMIN/LOAN	TOTAL
JULY	117,986.45	143,903.59	24,033.01	6,008.48	96,132.69	388,064.31	(25,161.36)	362,902.95
AUG	136,741.89	166,736.29	27,868.57	6,966.45	111,474.36	449,787.56	(26,325.98)	423,461.58
SEP	155,854.50	187,232.96	31,759.73	7,939.40	127,039.97	509,826.56	(27,451.35)	482,375.21
OCT	122,524.55	149,356.92	24,952.23	6,238.02	99,809.08	402,880.80	(25,708.29)	377,172.51
	533,107.39	647,229.76	108,613.54	27,152.35	434,456.10	1,750,559.23	(104,646.98)	1,645,912.25

Comparison (based on gross amount:)

Total Gross YTD GRT Compared to last year July - October

YTD Oct 15	YTD Oct16	Inc./(Dec)	% Inc./(Dec)
1,646,765.84	1,750,559.23	103,793.39	6.30%

Total Gross YTD Raton Water Works GRT compared to last year July - Oct

YTD Oct 15	YTD Oct 16	Inc/(Dec)	% Inc/Dec
408,041.58	434,456.10	26,414.52	6.47%

FY 17 GENERAL BUDGET COMPARISON

July-Oct				
General Fund	YTD Budget	Actual	Inc./(Dec)	%Inc./(Dec)
Budgeted GRT	4/12= 33.33%	36.70%		
2,781,659.00	927,219.67	1,021,058.16	93,838.49	3.3700%

**CITY OF RATON**  
**UNAUDITED FINANCIAL STATEMENT**  
 End of Fiscal Year October 31, 2016  
 2nd QUARTER

FUND	CASH		BEGINNING		NET			ENDING	O/S	O/S	OTHER	TOTAL	BANK	INVEST	VAR
	CASH	INVEST	REVENUES	EXPENSES	TSP IN/OUT	ACCRUAL ADJ	CASH / INV								
General Fund	1,386,716.75	-	1,397,461.10	1,509,155.00	(152,760.11)	(7,294.59)	1,115,008.15	20,431.25	29,099.31	-	1,123,676.21	1,123,676.21	-	-	
<b>Special Revenue</b>															
201 Fire Grant	65,451.62	-	76,124.00	31,462.30	(5,000.00)	(436.70)	104,377.22	-	139.63	-	104,516.85	104,516.85	-	-	
202 Fire	158,953.45	-	373,604.10	73,971.04	(82,031.00)	6,554.81	383,200.32	-	1,354.01	-	384,554.33	384,554.33	-	-	
203 Recreation	29,326.68	-	85,702.35	110,231.03	-	1,856.40	6,654.40	-	2,544.23	-	9,198.63	9,198.63	-	-	
204 Lodgers Tax Promo	72,557.09	-	200,083.15	54,632.40	(119,958.89)	(998.72)	97,050.23	-	34,954.84	-	132,005.07	132,005.07	-	-	
205 Lodgers Tax Non-Promo	101,507.08	-	172.40	41,230.26	83,310.86	(113.15)	143,646.93	24,214.79	6,449.80	-	125,881.94	125,881.94	-	-	
206 Law Enforcement	4,008.94	-	27,213.49	532.00	-	(20,665.00)	10,025.43	-	-	-	10,025.43	10,025.43	-	-	
207 Insurance Reserve	6,276.83	-	247,729.43	253,437.38	-	-	568.88	30,367.74	45.65	-	(29,753.21)	(29,753.21)	-	-	
208 Emergency Medical	-	-	20,987.79	13,002.38	-	-	7,985.41	-	415.00	-	8,400.41	8,400.41	-	-	
209 Police Grants	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
210 Local Gov't Corrections	52,498.43	-	4,605.50	2,385.00	-	-	54,718.93	-	450.00	-	55,168.93	55,168.93	-	-	
211 Library Building	41,650.47	229,700.00	559.17	946.88	-	-	270,962.76	-	-	-	270,962.76	41,262.76	229,700.00	-	
213 Library Grant	-	-	2,362.22	4,739.83	5,000.00	386.65	2,909.04	-	680.55	-	3,589.59	3,589.59	-	-	
216 Environmental	167,281.17	-	27,350.29	52,109.12	-	-	142,522.34	-	5,367.62	-	147,889.96	147,889.96	-	-	
217 Street Improvement	257,249.73	-	249,998.73	340,055.15	-	-	167,193.31	-	1,648.76	-	168,842.07	168,842.07	-	-	
218 Rev. Loan	66,043.03	-	86.95	-	-	-	66,129.98	-	-	-	66,129.98	66,129.98	-	-	
219 Police Money Seizures	27,722.56	-	36.37	196.00	-	-	27,562.93	-	-	-	27,562.93	27,562.93	-	-	
220 Law Enforcement Block Grant	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
221 Region IV Grant	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
222 Juvenile Justice Grant	-	-	20,787.79	28,761.82	-	-	(7,974.03)	-	-	-	(7,974.03)	(7,974.03)	-	-	
223 ARRA OJP Grant	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
224 ARRA CWSRF Project	-	-	30,000.00	-	(30,000.00)	-	-	-	-	-	-	-	-	-	
227 BMDRD Recycle Grant	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
229 NMDRD Shooter Grant	100,091.79	-	130.54	922.43	-	-	99,299.90	-	-	-	99,299.90	99,299.90	-	-	
<b>Total SRP</b>	<b>1,150,618.87</b>	<b>229,700.00</b>	<b>1,367,624.27</b>	<b>1,008,615.02</b>	<b>(169,344.03)</b>	<b>6,849.89</b>	<b>1,576,833.98</b>	<b>54,582.53</b>	<b>54,050.09</b>	<b>-</b>	<b>1,576,301.54</b>	<b>1,346,601.54</b>	<b>229,700.00</b>	<b>-</b>	
<b>Capital Project Funds</b>															
300 Airport	69.11	-	-	-	-	-	69.11	-	-	-	69.11	69.11	-	-	
301 Parks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
302 Swimming Pool	69,873.90	-	213,810.70	4,830.19	(119,806.22)	-	159,048.19	-	-	-	159,048.19	159,048.19	-	-	
305 NMDKT Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
308 Historic Buildings	-	-	27,246.74	-	(27,246.74)	-	-	-	-	-	-	-	-	-	
311 NMED Water Projects Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
312 Depot Improvement Proj	-	-	142,178.09	300,149.77	200,000.00	-	42,028.32	-	-	-	42,028.32	42,028.32	-	-	
313 Sanitation Project	-	-	11,167.79	11,167.79	-	-	-	-	-	-	-	-	-	-	
<b>Total CPP</b>	<b>69,943.01</b>	<b>-</b>	<b>394,403.32</b>	<b>316,147.75</b>	<b>52,947.04</b>	<b>-</b>	<b>201,145.62</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>201,145.62</b>	<b>201,145.62</b>	<b>-</b>	<b>-</b>	
<b>Debt Service Funds</b>															
401 NMFA - Fire Equipment	3,435.78	-	62.61	-	82,031.00	-	85,529.39	-	-	-	85,529.39	85,529.39	-	-	
402 NMFA - Street Improvement	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
403 NMFA - Welcome Center	58,221.36	-	230.94	-	16,654.88	-	75,107.18	-	-	-	75,107.18	75,107.18	-	-	
404 NMFA - Loader	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
405 USDA Loan - Wastewater Plant	842,032.25	-	86,519.46	-	-	-	928,551.71	21,192.78	-	-	907,358.93	907,358.93	-	-	
406 NMFA - Rec Center	265,291.58	-	996.61	-	69,664.00	-	335,952.19	-	-	-	335,952.19	335,952.19	-	-	
407 NMFA - Rec Center	232,143.50	-	1,014.86	-	30,142.22	-	283,300.58	-	-	-	283,300.58	283,300.58	-	-	
408 NMFA - WTB 128	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
409 ARRA CWSRF Debt Service	-	-	-	30,000.00	30,000.00	-	-	-	-	-	-	-	-	-	
410 NMFA - WTB 255	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
411 NMFA Police Vehicles	40.23	-	16.79	-	20,665.00	-	20,722.02	-	-	-	20,722.02	20,722.02	-	-	
412 NMFA Sanitation Loan	125,385.48	-	491.86	-	37,682.12	-	163,559.46	-	-	-	163,559.46	163,559.46	-	-	
<b>Total DSP</b>	<b>1,526,550.18</b>	<b>-</b>	<b>89,332.13</b>	<b>30,000.00</b>	<b>306,839.22</b>	<b>-</b>	<b>1,892,722.53</b>	<b>21,192.78</b>	<b>-</b>	<b>-</b>	<b>1,871,529.75</b>	<b>1,871,529.75</b>	<b>-</b>	<b>-</b>	
<b>Enterprise</b>															
500 Solid Waste	659,739.75	-	498,323.87	459,871.77	(54,482.12)	4,706.43	648,418.16	-	20,413.42	-	668,831.58	668,831.58	-	-	
<b>Trust &amp; Agency</b>															
700 Correction Fees	-	-	2,131.00	2,131.00	-	-	3,206.85	-	-	-	3,206.85	3,206.85	-	-	
720 Fireworks Fund	-	-	3,206.85	-	-	-	-	-	-	-	-	-	-	-	
725 Charity Fund	4,303.78	-	8.35	-	-	-	4,312.13	-	-	-	4,312.13	4,312.13	-	-	
750 Coke Fund	234.78	-	0.71	-	-	-	235.49	-	-	-	235.49	235.49	-	-	
<b>Total T&amp;A</b>	<b>4,538.56</b>	<b>-</b>	<b>5,346.91</b>	<b>2,131.00</b>	<b>-</b>	<b>-</b>	<b>7,754.47</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>7,754.47</b>	<b>7,754.47</b>	<b>-</b>	<b>-</b>	
<b>Landfill Trust Assurance</b>															
800 Landfill Trust	201,727.57	-	418.63	-	16,800.00	-	218,946.20	-	-	-	218,946.20	218,946.20	-	-	
<b>TOTAL CITY FUNDS</b>	<b>4,999,834.69</b>	<b>229,700.00</b>	<b>3,752,911.23</b>	<b>3,325,920.54</b>	<b>-</b>	<b>4,303.73</b>	<b>5,660,829.11</b>	<b>96,206.56</b>	<b>103,562.82</b>	<b>-</b>	<b>5,668,185.37</b>	<b>5,438,485.37</b>	<b>229,700.00</b>	<b>-</b>	



**CITY OF RATON**  
**UNAUDITED FINANCIAL STATEMENT**  
 End of Fiscal Year October 31, 2016  
 2nd QUARTER

<b>GENERAL FUND</b>		<b>ORIGINAL</b>		<b>FINAL</b>	<b>4/12</b>	<b>ACTUAL</b>	<b>ACCRUAL</b>	<b>AMOUNT LESS</b>	<b>Favorable</b>	<b>4/12 = 33.3%</b>
<b>DEPARTMENT</b>	<b>BUDGET</b>	<b>ADJ</b>	<b>BUDGET</b>	<b>BUDGET</b>	<b>AMOUNT</b>	<b>ADJUSTMENT</b>	<b>ACCRUAL</b>	<b>(Unfavorable)</b>	<b>%</b>	<b>of Budget</b>
<b>Revenues</b>										
Municipal Taxes	2,191,852.00	-	2,191,852.00	730,617.33	574,967.32	-	574,967.32	(155,650.01)	26.23%	
State Shared Taxes	1,485,252.00	-	1,485,252.00	495,084.00	540,324.44	-	540,324.44	45,240.44	36.38%	
License & Permits	23,750.00	-	23,750.00	7,916.67	6,534.00	-	6,534.00	(1,382.67)	27.51%	
Charge for Services	574,100.00	-	574,100.00	191,366.67	174,343.66	-	174,343.66	(17,023.01)	30.37%	
Fines & Forfeitures	32,000.00	-	32,000.00	10,666.67	11,093.04	-	11,093.04	426.37	34.67%	
Miscellaneous Rev.	51,700.00	-	51,700.00	17,233.33	58,873.35	-	58,873.35	41,640.02	113.87%	
Grants	246,160.00	-	246,160.00	82,053.33	31,325.29	-	31,325.29	(50,728.04)	12.73%	
	<u>4,604,814.00</u>	<u>-</u>	<u>4,604,814.00</u>	<u>1,534,938.00</u>	<u>1,397,461.10</u>	<u>-</u>	<u>1,397,461.10</u>	<u>(137,476.90)</u>	<u>30.35%</u>	
<b>Expenditures</b>										
10 Legislative	26,101.00	-	26,101.00	8,700.33	8,633.81	-	8,633.81	66.52	33.08%	
11 Executive	695,155.00	-	695,155.00	231,718.33	228,243.78	26.19	228,217.59	3,474.55	32.83%	
12 Judicial	94,967.00	-	94,967.00	31,655.67	29,336.24	3,755.33	28,960.91	2,319.43	30.89%	
13 Building Maintenance	162,843.00	-	162,843.00	54,281.00	52,179.37	651.54	51,527.83	2,101.63	32.04%	
21 Police	1,319,101.00	-	1,319,101.00	439,700.33	451,537.99	(9,637.83)	463,195.82	(13,837.66)	34.38%	
22 Fire	1,144,341.00	-	1,144,341.00	381,447.00	396,955.37	970.22	395,985.15	(15,508.37)	34.69%	
23 Dispatch	343,075.00	-	343,075.00	114,358.33	114,449.59	-	114,449.59	(91.26)	33.36%	
31 Streets	551,759.00	-	551,759.00	183,919.67	110,597.25	(252.48)	110,849.73	73,322.42	20.04%	
41 Animal Control	74,733.00	-	74,733.00	24,911.00	22,181.77	(438.69)	22,620.46	2,729.23	29.68%	
50 Parks & Recreation	-	-	-	-	-	-	-	-	-	
55 Library	211,871.00	-	211,871.00	70,623.67	76,705.69	820.95	75,884.74	(6,082.02)	36.20%	
60 Zoning	45,621.00	-	45,621.00	15,207.00	6,574.33	-	6,574.33	8,632.67	14.41%	
65 Economic/Community Dev.	21,027.00	-	21,027.00	7,009.00	443.19	-	443.19	6,565.81	2.11%	
70 Airport	32,850.00	-	32,850.00	10,950.00	9,316.62	250.18	9,066.44	1,633.38	28.36%	
	<u>4,723,444.00</u>	<u>-</u>	<u>4,723,444.00</u>	<u>1,574,481.33</u>	<u>1,509,155.00</u>	<u>(7,234.59)</u>	<u>1,516,409.59</u>	<u>65,326.33</u>	<u>31.95%</u>	
<b>Transfers In</b>	363,940.00	289,000.00	652,940.00	217,646.67	52,239.89	-	52,239.89	165,406.78	8.00%	
<b>Transfers Out</b>	110,935.00	289,000.00	399,935.00	133,311.67	205,000.00	-	205,000.00	(71,688.33)	51.26%	
	<u>253,005.00</u>	<u>-</u>	<u>253,005.00</u>	<u>84,335.00</u>	<u>(152,760.11)</u>	<u>-</u>	<u>(152,760.11)</u>	<u>93,718.44</u>		
<b>Net Change in General Fund</b>	134,375.00	-	134,375.00	44,791.67	(264,454.01)	-	(271,708.60)	21,567.88		
<b>Beginning Cash</b>	1,386,716.75	-	1,386,716.75	1,386,716.75	1,386,716.75	-	1,386,716.75			
<b>Investments</b>	-	-	-	-	-	-	-			
<b>Ending Cash</b>	<u>1,521,091.75</u>	<u>-</u>	<u>1,521,091.75</u>	<u>1,431,508.42</u>	<u>1,122,262.74</u>	<u>-</u>	<u>1,115,008.15</u>			

**CITY OF RATON**  
**UNAUDITED FINANCIAL STATEMENT**  
 End of Fiscal Year October 31, 2016  
 2nd QUARTER

FUND	REVENUES		ORIGINAL BUDGET		FINAL BUDGET		4/12 BUDGET		REVENUES		Favorable (Unfavorable)	TRANSFERS ORIGINAL BUDGET		FINAL BUDGET		4/12 BUDGET		NET TRANSFER		Favorable (Unfavorable)	
	BUDGET	ADJ	BUDGET	ADJ	BUDGET	ADJ	BUDGET	ADJ	BUDGET	ADJ		BUDGET	ADJ	BUDGET	ADJ	IN/OUT					
100	General Fund		4,604,814.00	-	4,604,814.00	-	1,534,938.00	-	1,397,461.10	-	(137,476.90)	253,005.00	-	253,005.00	-	84,335.00	-	(152,768.11)	(237,995.11)		
<b>Special Revenue</b>																					
201	Fire Grant		75,000.00	-	75,000.00	-	25,000.00	-	76,124.00	-	51,124.00	(5,000.00)	-	(5,000.00)	-	(1,666.67)	-	(5,000.00)	(3,333.33)		
202	Fire		374,040.00	-	374,040.00	-	124,680.00	-	373,694.10	-	249,014.10	(82,032.00)	-	(82,032.00)	-	(27,344.00)	-	(82,031.00)	(54,687.00)		
203	Recreation		229,254.00	-	229,254.00	-	76,418.00	-	85,702.35	-	9,284.35	60,000.00	-	60,000.00	-	20,000.00	-	(20,000.00)	-		
204	Lodgers Tax Promo		340,750.00	-	340,750.00	-	113,583.33	-	200,083.15	-	86,499.82	(204,000.00)	-	(204,000.00)	-	(68,000.00)	-	(119,958.89)	(51,958.89)		
205	Lodgers Tax Non-Promo		100.00	-	100.00	-	33.33	-	172.40	-	139.07	119,566.00	-	119,566.00	-	39,855.33	-	83,310.86	43,455.53		
206	Law Enforcement		27,200.00	-	27,200.00	-	9,066.67	-	27,213.49	-	18,146.82	(20,665.00)	-	(20,665.00)	-	(6,888.33)	-	(20,665.00)	(13,776.67)		
207	Insurance Reserve		1,034,361.00	-	1,034,361.00	-	344,787.00	-	247,729.43	-	(97,057.57)	-	-	-	-	-	-	-	-		
208	Emergency Medical		35,550.00	(1,164.00)	34,386.00	-	11,462.00	-	20,987.79	-	9,525.79	-	-	-	-	-	-	-	-		
209	Police Grants		154,685.00	-	154,685.00	-	51,561.67	-	-	-	(51,561.67)	8,055.00	-	8,055.00	-	2,685.00	-	-	-		
210	Local Gov't Corrections		10,100.00	-	10,100.00	-	3,366.67	-	4,605.50	-	1,238.83	-	-	-	-	-	-	-	-		
211	Library Building		2,000.00	-	2,000.00	-	666.67	-	559.17	-	(107.50)	-	-	-	-	-	-	-	-		
213	Library Grants		24,107.00	-	24,107.00	-	8,035.67	-	2,362.22	-	(5,673.45)	-	-	-	-	-	-	5,000.00	5,000.00		
216	Environmental		90,947.00	-	90,947.00	-	30,315.67	-	27,350.29	-	(2,965.38)	-	-	-	-	-	-	-	-		
217	Street Improvement		538,823.00	-	538,823.00	-	179,607.67	-	249,998.73	-	70,391.06	-	-	-	-	-	-	-	-		
218	Rev. Loan		100.00	-	100.00	-	33.33	-	86.95	-	53.62	-	-	-	-	-	-	-	-		
219	Police Money Seizures		50.00	-	50.00	-	16.67	-	36.37	-	19.70	-	-	-	-	-	-	-	-		
220	Law Enforcement Block Grant		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
221	Region IV Grant		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
222	Juvenile Justice Grant		145,124.00	-	145,124.00	-	48,374.67	-	20,787.79	-	(27,586.88)	(14,344.00)	-	(14,344.00)	-	(4,781.33)	-	-	4,781.33		
223	ARRA CJP Grant		56,147.00	-	56,147.00	-	18,715.67	-	-	-	(18,715.67)	-	-	-	-	-	-	-	-		
224	ARRA CWSRF		30,000.00	-	30,000.00	-	10,000.00	-	30,000.00	-	30,000.00	(30,000.00)	-	(30,000.00)	-	(10,000.00)	-	(30,000.00)	(20,000.00)		
227	EMNRD Recycle Grant		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
229	NMIED Shooter Grant		12,500.00	-	12,500.00	-	4,166.67	-	130.54	-	(4,036.13)	-	-	-	-	-	-	-	-		
	<b>Total SRF</b>		<b>3,180,838.00</b>	<b>(1,164.00)</b>	<b>3,179,674.00</b>	<b>-</b>	<b>1,059,891.33</b>	<b>-</b>	<b>1,367,624.27</b>	<b>-</b>	<b>307,732.94</b>	<b>(168,420.00)</b>	<b>-</b>	<b>(168,420.00)</b>	<b>-</b>	<b>(56,140.00)</b>	<b>-</b>	<b>(169,344.03)</b>	<b>(110,519.03)</b>		
<b>Capital Project Funds</b>																					
300	Airport		676,400.00	-	676,400.00	-	225,466.67	-	-	-	(225,466.67)	35,600.00	-	35,600.00	-	11,866.67	-	-	(11,866.67)		
301	Parks		42,720.00	-	42,720.00	-	14,240.00	-	14,240.00	-	-	7,280.00	-	7,280.00	-	2,426.67	-	(119,806.22)	(2,426.67)		
302	Swimming Pool		571,692.00	-	571,692.00	-	190,564.00	-	213,810.70	-	23,246.70	(527,779.00)	-	(527,779.00)	-	(175,926.33)	-	56,120.11	-		
305	NMIDOT Projects		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
308	Historic Buildings		27,248.00	-	27,248.00	-	9,082.67	-	27,246.74	-	18,164.07	(27,248.00)	-	(27,248.00)	-	(9,082.67)	-	(27,246.74)	(18,164.07)		
311	NMIED Water Projects Fund		319,550.00	-	319,550.00	-	106,516.67	-	106,516.67	-	-	(110,922.00)	-	(110,922.00)	-	(36,974.00)	-	-	36,974.00		
312	Depot Improvement Proj		717,967.00	-	717,967.00	-	239,322.33	-	142,178.09	-	(97,144.24)	(141,675.00)	-	(141,675.00)	-	(47,225.00)	-	200,000.00	247,225.00		
313	Sanitation Project		787,794.00	-	787,794.00	-	262,598.00	-	11,167.79	-	(251,430.21)	-	-	-	-	-	-	-	-		
	<b>Total CPF</b>		<b>3,143,371.00</b>	<b>-</b>	<b>3,143,371.00</b>	<b>-</b>	<b>1,047,790.33</b>	<b>-</b>	<b>394,403.32</b>	<b>-</b>	<b>(653,387.01)</b>	<b>(764,744.00)</b>	<b>-</b>	<b>(764,744.00)</b>	<b>-</b>	<b>(254,914.67)</b>	<b>-</b>	<b>52,947.04</b>	<b>307,861.71</b>		
<b>Debt Service</b>																					
401	NMFA - Fire Equipment		200.00	-	200.00	-	66.67	-	62.61	-	(4.06)	82,032.00	-	82,032.00	-	27,344.00	-	82,031.00	54,687.00		
402	NMFA - Street Improvement		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
403	NMFA - Welcome Center		150.00	-	150.00	-	50.00	-	230.94	-	180.94	50,434.00	-	50,434.00	-	16,811.33	-	16,654.88	(156.45)		
404	NMFA - Landfill DS		-	-	-	-	-	-	-	-	-	1,386.00	-	1,386.00	-	528.67	-	-	(528.67)		
405	USDA Loan - Wastewater		255,414.00	-	255,414.00	-	85,138.00	-	86,519.46	-	1,381.46	-	-	-	-	-	-	-	-		
406	NMFA - Rec Center		750.00	-	750.00	-	250.00	-	996.61	-	746.61	352,802.00	-	352,802.00	-	117,600.67	-	69,664.00	(47,936.67)		
407	NMFA - Rec Center		750.00	-	750.00	-	250.00	-	1,014.86	-	764.86	174,977.00	-	174,977.00	-	58,325.67	-	50,142.22	(8,183.45)		
408	NMFA - WTB		-	-	-	-	-	-	-	-	-	10,000.00	-	10,000.00	-	3,333.33	-	(3,333.33)	-		
409	ARRA CWSRF Debt Service		-	-	-	-	-	-	-	-	-	30,000.00	-	30,000.00	-	10,000.00	-	30,000.00	20,000.00		
410	NMFA - WTB 235		-	-	-	-	-	-	-	-	-	100,922.00	-	100,922.00	-	33,640.67	-	-	(33,640.67)		
411	NMFA Police Vehicles		40.00	-	40.00	-	13.33	-	16.79	-	3.46	20,665.00	-	20,665.00	-	6,888.33	-	20,665.00	13,776.67		
412	NMFA Sanitation Loan		800.00	-	800.00	-	266.67	-	491.86	-	225.19	113,047.00	-	113,047.00	-	37,682.33	-	37,682.12	(0.21)		
	<b>Total DSF</b>		<b>258,104.00</b>	<b>-</b>	<b>258,104.00</b>	<b>-</b>	<b>86,034.67</b>	<b>-</b>	<b>89,333.13</b>	<b>-</b>	<b>3,298.46</b>	<b>936,465.00</b>	<b>-</b>	<b>936,465.00</b>	<b>-</b>	<b>312,155.00</b>	<b>-</b>	<b>306,839.22</b>	<b>(5,315.78)</b>		
<b>Enterprise</b>																					
500	Solid Waste		1,478,300.00	18,000.00	1,496,300.00	-	498,766.67	-	498,323.87	-	(442.80)	(306,706.00)	-	(306,706.00)	-	(102,235.33)	-	(54,482.12)	47,753.21		
<b>Trust &amp; Agency</b>																					
700	Correction Fees		4,800.00	-	4,800.00	-	1,600.00	-	2,131.00	-	531.00	-	-	-	-	-	-	-	-		
720	Firework Fund		3,171.00	-	3,171.00	-	1,057.00	-	3,206.85	-	2,149.85	-	-	-	-	-	-	-	-		
725	Charity Fund		-	-	-	-	-	-	8.35	-	8.35	-	-	-	-	-	-	-	-		
750	Coke Fund		100.00	-	100.00	-	33.33	-	0.71	-	(32.62)	-	-	-	-	-	-	-	-		
	<b>Total T&amp;A</b>		<b>8,071.00</b>	<b>-</b>	<b>8,071.00</b>	<b>-</b>	<b>2,690.33</b>	<b>-</b>	<b>5,346.91</b>	<b>-</b>	<b>2,656.58</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>		
<b>Landfill Trust Assurance</b>																					
800	Landfill Trust Assurance *		-	-	-	-	-	-	418.63	-	418.63	50,400.00	-	50,400.00	-	16,800.00	-	16,800.00	-		
	<b>TOTAL CITY FUNDS</b>		<b>12,673,498.00</b>	<b>16,836.00</b>	<b>12,690,334.00</b>	<b>-</b>	<b>4,230,111.33</b>	<b>-</b>	<b>3,752,911.23</b>	<b>-</b>	<b>(477,618.73)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(0.00)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>		

**CITY OF RATON**  
**UNAUDITED FINANCIAL STATEMENT**  
 End of Fiscal Year October 31, 2016  
 2nd QUARTER

FUND	EXPENDITURES	ORIGINAL BUDGET	ADJ	FINAL BUDGET	4/12 BUDGET	EXPENSE	Favorable (Unfavorable)
100	General Fund	4,723,444.00	-	4,723,444.00	1,574,481.33	1,509,155.00	65,326.33
<b>Special Revenue</b>							
201	Fire Grant	135,452.00	-	135,452.00	45,150.67	31,462.30	13,688.37
202	Fire	450,961.00	-	450,961.00	150,320.33	73,971.04	76,349.29
203	Juvenile Recreation	314,407.00	-	314,407.00	104,802.33	110,231.03	(5,428.70)
204	Lodgers Tax 3%	176,000.00	4,100.00	180,100.00	60,033.33	54,632.40	5,400.93
205	Lodgers Tax 2%	161,107.00	20,000.00	181,107.00	60,369.00	41,230.26	19,138.74
206	Law Enforcement	10,544.00	-	10,544.00	3,514.67	532.00	2,982.67
207	Insurance Reserve	1,034,361.00	-	1,034,361.00	344,787.00	253,437.38	91,349.62
208	Emergency Medical	35,550.00	(1,164.00)	34,386.00	11,462.00	13,002.38	(1,540.38)
209	Police Grants	162,740.00	-	162,740.00	54,246.67	-	54,246.67
210	Local Gov't. Corrections	16,000.00	-	16,000.00	5,333.33	2,385.00	2,948.33
211	Library Building	2,500.00	-	2,500.00	833.33	946.88	(113.55)
213	Library Grants	24,107.00	-	24,107.00	8,035.67	4,739.83	3,295.84
216	Environmental	258,228.00	-	258,228.00	86,076.00	52,109.12	33,966.88
217	Street Improvement	637,004.00	-	637,004.00	212,334.67	340,055.15	(127,720.48)
218	Rev. Loan	-	-	-	-	-	-
219	Police Money Seizures	20,050.00	-	20,050.00	6,683.33	196.00	6,487.33
220	Law Enforcement Block Grant	-	-	-	-	-	-
221	Region IV Grant	-	-	-	-	-	-
222	Juvenile Justice Grant	130,780.00	-	130,780.00	43,593.33	28,761.82	14,831.51
223	ARRA OJP Grant	56,147.00	-	56,147.00	18,715.67	-	18,715.67
224	ARRA CSWRF Project	-	-	-	-	-	-
227	EMNRD Recycle Grant	-	-	-	-	-	-
229	NMDDO Shuler Grant	100,000.00	-	100,000.00	33,333.33	922.43	32,410.90
	<b>Total SRF</b>	<b>3,725,938.00</b>	<b>22,936.00</b>	<b>3,748,874.00</b>	<b>1,249,624.67</b>	<b>1,008,615.02</b>	<b>241,009.65</b>
<b>Capital Project Funds</b>							
300	Airport	712,000.00	-	712,000.00	237,333.33	-	237,333.33
301	Parks	30,000.00	-	30,000.00	16,666.67	-	16,666.67
302	Swimming Pool	28,559.00	-	28,559.00	9,519.67	4,830.19	4,689.48
305	NMDDOT Projects	-	-	-	-	-	-
308	Historic Buildings	-	-	-	-	-	-
311	Water Projects Fund	208,628.00	-	208,628.00	69,542.67	-	69,542.67
312	Deport Improvement Proj	576,292.00	-	576,292.00	192,097.33	300,149.77	(108,052.44)
313	Sanitation Project	787,794.00	-	787,794.00	262,598.00	11,167.79	251,430.21
	<b>Total CPF</b>	<b>2,363,273.00</b>	<b>-</b>	<b>2,363,273.00</b>	<b>787,757.67</b>	<b>316,147.75</b>	<b>471,609.92</b>
<b>Debt Service</b>							
401	NMFA - Fire Equipment	82,032.00	-	82,032.00	27,344.00	-	27,344.00
402	NMFA - Street Improvement	-	-	-	-	-	-
403	NMFA - Welcome Center	50,434.00	-	50,434.00	16,811.33	-	16,811.33
404	NMFA - Landfill DS	1,586.00	-	1,586.00	528.67	-	528.67
405	USDA Loan - Wastewater	167,800.00	-	167,800.00	55,933.33	-	55,933.33
406	NMFA - Rec Center	352,802.00	-	352,802.00	117,600.67	-	117,600.67
407	NMFA - Rec Center	174,977.00	-	174,977.00	58,325.67	-	58,325.67
408	NMFA - WTB	10,000.00	-	10,000.00	3,333.33	-	3,333.33
409	ARRA CSWRF DS	30,000.00	-	30,000.00	10,000.00	30,000.00	(20,000.00)
410	NMFA - WTB 255	100,922.00	-	100,922.00	33,640.67	-	33,640.67
411	NMFA Police Vehicles	20,665.00	-	20,665.00	6,888.33	-	6,888.33
412	NMFA Sanitation Loan	113,047.00	-	113,047.00	37,682.33	-	37,682.33
	<b>Total DSF</b>	<b>1,104,265.00</b>	<b>-</b>	<b>1,104,265.00</b>	<b>368,088.33</b>	<b>30,000.00</b>	<b>338,088.33</b>
<b>Enterprise</b>							
500	Solid Waste	1,393,685.00	22,500.00	1,416,185.00	472,061.67	459,871.77	12,189.90
<b>Trust &amp; Agency</b>							
700	Correction Fees	4,800.00	-	4,800.00	1,600.00	2,131.00	(531.00)
720	Firework Fund	3,171.00	-	3,171.00	1,057.00	-	1,057.00
725	Charity Fund	4,304.00	-	4,304.00	1,434.67	-	1,434.67
750	Coke Fund	335.00	-	335.00	111.67	-	111.67
	<b>Total T&amp;A</b>	<b>12,610.00</b>	<b>-</b>	<b>12,610.00</b>	<b>4,203.33</b>	<b>2,131.00</b>	<b>2,072.33</b>
<b>Landfill Trust Assurance</b>							
800	Landfill Trust Assurance	-	-	-	-	-	-
	<b>TOTAL CITY FUNDS</b>	<b>13,323,215.00</b>	<b>45,436.00</b>	<b>13,368,651.00</b>	<b>4,456,217.00</b>	<b>3,325,920.54</b>	<b>1,130,296.46</b>



	tax rate effec 1-1-15 8.5208%		<u>STATE REPORT</u>					2014-2015
	STATE	MUNI	INFRA	ENV	WATER	GROSS	.DMIN/LOAN	TOTAL
JULY	120,206.94	122,084.63	24,422.44	6,103.87	97,691.69	370,509.57	(24,474.09)	346,035.48
AUG	121,799.87	123,912.83	24,785.81	6,195.16	99,144.27	375,837.94	(24,549.08)	351,288.86
SEP	119,370.35	121,403.57	24,302.62	6,073.53	97,216.94	368,367.01	(24,483.77)	343,883.24
OCT	114,990.26	117,069.49	23,414.64	5,852.35	93,662.02	354,988.76	(24,238.35)	330,750.41
NOV	123,525.83	106,225.20	24,448.05	6,109.58	97,789.41	383,096.47	(24,998.40)	358,098.07
DEC	116,165.32	118,204.03	23,651.39	5,910.25	94,606.91	358,537.90	(24,261.71)	334,276.19
JAN	106,443.25	107,949.89	21,590.71	5,396.01	86,365.72	327,745.58	(23,726.13)	304,019.45
FEB	131,804.98	134,959.10	26,845.42	6,708.05	107,388.91	407,706.46	(25,455.81)	382,250.65
MAR	101,277.39	122,829.41	20,618.20	5,152.63	82,475.37	332,353.00	(24,084.90)	308,268.10
ARP	105,251.16	126,457.26	21,416.61	5,349.62	85,667.76	344,142.41	(24,476.97)	319,665.44
MAY	112,647.97	136,714.51	22,925.25	5,728.88	91,705.37	369,721.98	(24,774.76)	344,947.22
JUN	120,607.25	143,817.36	24,556.11	6,137.07	98,226.46	393,344.25	(25,182.32)	368,161.93
	1,394,090.57	1,481,627.28	282,977.25	70,717.00	1,131,940.83	4,386,351.33	(294,706.29)	4,091,645.04

	tax rate 8.5208%		<u>STATE REPORT</u>					2015-2016
	STATE	MUNI	INFRA	ENV	WATER	GROSS	.DMIN/LOAN	TOTAL
JULY	124,268.01	150,361.44	25,231.66	6,304.95	100,931.27	407,097.33	(25,616.70)	381,480.63
AUG	126,151.18	153,811.26	25,686.59	6,418.07	102,747.81	414,814.91	(25,629.74)	389,185.17
SEP	139,104.55	169,154.58	28,315.40	7,070.91	113,268.43	456,913.87	(25,940.90)	430,972.97
OCT	112,004.94	136,383.33	22,773.80	5,683.59	91,094.07	367,939.73	(24,285.82)	343,653.91
NOV	105,527.65	128,401.56	21,484.59	5,364.37	85,941.82	346,719.99	(23,890.93)	322,829.06
DEC	101,197.71	123,106.03	20,592.11	5,138.04	82,384.16	332,418.05	(23,624.46)	308,793.59
JAN	97,883.43	118,836.39	19,846.07	4,953.44	79,389.41	320,908.74	(23,401.37)	297,507.37
FEB	167,855.74	204,812.19	34,214.54	8,546.70	136,858.85	552,288.02	(30,128.63)	522,159.39
MAR	99,848.25	121,783.32	20,308.93	5,065.99	81,240.08	328,246.57	(23,992.58)	304,253.99
ARP	95,264.25	116,202.42	19,385.62	4,840.00	77,557.22	313,249.51	(23,844.81)	289,404.70
MAY	119,770.94	145,830.64	24,390.13	6,095.01	97,562.49	393,649.21	(25,282.67)	368,366.54
JUN	103,721.43	126,317.16	21,119.60	5,279.62	84,478.95	340,916.76	(24,268.07)	316,648.69
	1,392,598.08	1,695,000.32	283,349.04	70,760.69	1,133,454.56	4,575,162.69	(299,906.68)	4,275,256.01

	tax rate 8.5208%		<u>STATE REPORT</u>					2016-2017
	STATE	MUNI	INFRA	ENV	WATER	GROSS	ADMIN/LOAN	TOTAL
JULY	117,986.45	143,903.59	24,033.01	6,008.48	96,132.69	388,064.31	(25,161.36)	362,902.95
AUG	136,741.89	166,736.29	27,868.57	6,966.45	111,474.36	449,787.56	(26,325.98)	423,461.58
SEP	155,854.50	187,232.96	31,759.73	7,939.40	127,039.97	509,826.56	(27,451.35)	482,375.21
OCT	122,524.55	149,356.92	24,952.23	6,238.02	99,809.08	402,880.80	(25,708.29)	377,172.51
NOV	135,081.74	164,740.14	27,510.43	6,876.96	110,042.92	444,252.19	(26,407.06)	417,845.13
	668,189.13	811,969.90	136,123.97	34,029.31	544,499.02	2,194,811.42	(131,054.04)	2,063,757.38

Comparison (based on gross amount:)

Total Gross YTD GRT Compared to last year July - November

YTD Nov 15	YTD Nov 16	Inc./(Dec)	% Inc./(Dec)
1,993,485.83	2,194,811.42	201,325.59	10.10%

Total Gross YTD Raton Water Works GRT compared to last year July - Nov

YTD Nov 15	YTD Nov 16	Inc/(Dec)	% Inc/Dec
493,983.40	544,499.02	50,515.62	10.23%

FY 17 GENERAL FUND BUDGET COMPARISON

	July-Nov			
General Fund	YTD Budget	Actual	Inc./(Dec)	%Inc./(Dec)
Budgeted GRT	5/12= 41.66%	46.02%		
2,781,659.00	1,159,024.58	1,280,271.01	121,246.43	4.3600%